RICK D. NYDEGGER BRENT P. LORIMER THOMAS R. VUKSINICK LARRY R. LAYCOCK JONATHAN W. RICHARDS" DAVID R. WRIGHT JOHN C. STRINGHAM JOHN M. GUYNN CHARLES L. ROBERTS' Dana L. Tangren ERIC L. MASCHOFF CHARLES J. VEVERKA ROBYN L. PHILLIPS RICHARD C. GILMORE \* STERLING A. BRENNAN R. BURNS ISRAELSEN DAVID R. TODD GREGORY M. TAYLOR DAVID B. DELLENBACH L. DAVID GRIFFIN Adrian J. Lee FRASER D. ROY CARL T. REED



R. PARRISH FREEMAN, IR. PETER F. MALEN, JR. L. REX SEARS, PH.D. William R. Richter ERIC M. KAMERATH ROBERT E. AYCOCK JENS C. JENKINS KEVIN W. STINGER WILLIAM I. ATHAY MICHAEL B. DODD Ryan D. Benson SARA D. JONES TIGE KELLER Janna L. Jensen MATTHEW D. TODD J. LaVar Oldham MICHAEL I. FRODSHAM JOSEPH L. KRUPA BRETT A. HANSEN BRETT I. JOHNSON MATTHEW A. BARLOW WESLEY C. ROSANDER ANDREW S. HANSEN CHAD E. NYDEGGER IOSEPH G. PIA CLINTON E. DUKE DAVID J. LORENZ † RYAN N. FARR \* JAMES B. BELSHE

ORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS

1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE: (801) 533-9800

FAX: (801) 328-1707 WEBSITE: HTTP://www.wnlaw.com

TRAExpress Mailing Label No. EV 443 089 575 US

PATENT Docket No.: 15436.436.4

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	Ralph H. Johnson	)
Serial No.:	10/026,055	) Art Unit ) 2828
Filed:	December 20, 2001	) 2020
Confirmation No.	8713	)
For:	VERTICAL CAVITY SURFACE EMITTING LASER INCLUDING INDIUM ANTIMONY AND NITROGEN IN THE ACTIVE REGION	) ( )
Examiner:	Dung T. Nguyen	) )

## TRANSMITTAL FOR AMENDMENT AND RESPONSE TO FINAL OFFICE ACTION DATED May 19, 2004

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment and Response to final Office Action for entry in the above-identified application.

to the drawings, enclosed are the following:

 Small entity status of this application under 37 C.F.R. § 1.9 and § 1.27 has been established by a verified statement previously submitted.
 To secure the approval of the Examiner for proposed amendments

<sup>\*</sup> Admitted only in California

<sup>†</sup> Admitted only in New York

		Letter to the Official Draftsperson;								
		Set of () sheets of drawings containing Figures including the proposed amendments therein; and								
		A duplicate set of the () sheets of drawings with the changes therein highlighted in red.								
<u>X</u>	Terminal Disclaimers with the fee of \$220 is enclosed.									
	To render the transmitted Amendment "" and Response timely filed enclosed are the following:									
		Petition for aMonth Extension of Time; and								
	Check No in the amount of \$ to cover the extension of time.									
<u>X</u>	A Certificate of Express Mail Under 37 C.F.R. § 1.10.									
<u>X</u>	No oth	ner fee is required.								

			SMALL ENTITY		LARGE ENTITY	
CLAIMS REMAINING AFTER	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDT'L FEE	RATE	ADDT'L FEE
TOTAL	MINUS	=	X		X	
INDEPENDENT	MINUS	=	Х		Х	
1 <sup>st</sup> PRESENTATIC CLAIM	N OF MULTIPLE	DEPENDENT	+=		+=	
			TOTAL		TOTAL	

The fee has been calculated as follows:

	Check 1 fee.	No	in the	amount o	of \$ is	enclos	sed to cove	er th	e add	itional cla	aim
I	Please	charge	my _·	Deposit	Account	No.	23-3178	in	the	amount	of

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

- X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- X Any patent application processing fees under 37 CFR 1.17.
- X A duplicate copy of this transmittal letter is attached.

Dated this 11<sup>th</sup> day of August 2004.

Respectfully submitted,

CARL T. REED

Attorney for Applicant Registration No. 45,454

Customer No. 022913

Telephone No. 801.533.9800

CTR: dfw DFW0000011177V001 Express Mailing Label No. EV 443 089 575 US **PATENT** Docket No.: 15436.436.4 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE oplication of Ralph H. Johnson Serial No .: 10/026,055 ) Art Unit 2828 Filed: December 20, 2001 Confirmation No. 8713 For: VERTICAL CAVITY SURFACE EMITTING LASER INCLUDING INDIUM ANTIMONY AND NITROGEN IN THE ACTIVE REGION

Dung T. Nguyen

## CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. § 1.10

I hereby certify that the following documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 11<sup>th</sup> day of August 2004.

- Transmittal Letter (3 pages) (in duplicate)
- Amendment and Response to final Office Action (4 pages)
- Two Terminal Disclaimer (3 pages each)
- PTO-2038 Credit Card Payment Form in the amount of \$220.00
- Postcard

Respectfully submitted,

CARL T. REED

Attorney for Applicant Registration No. 45,454

Customer No. 022913

Telephone No. 801.533.9800

CTR:dfw DFW0000011177V001

Examiner:

Dung T. Nguyen

## **AMENDMENT TO FINAL OFFICE ACTION DATED MAY 19, 2004**

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Examiner:

In response to the Office action of May 19, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 4 of this paper.